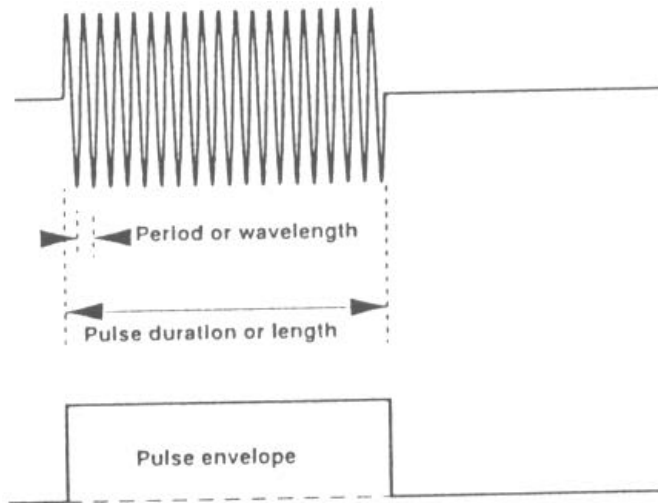


Acoustic Signal Processing



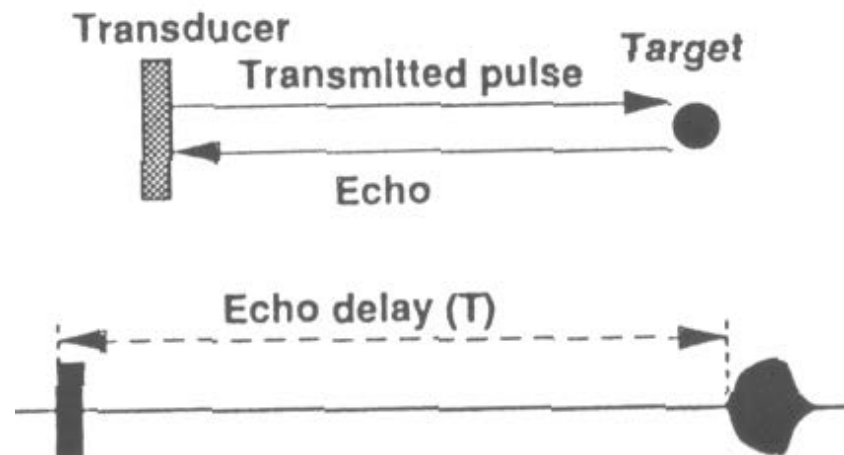
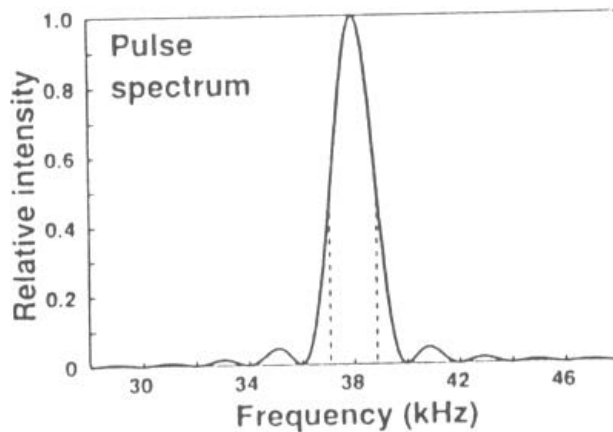
LO: Apply acoustic signal processing techniques to estimate abundances of pelagic fish species.

Echo Envelope



- time dependent amplitude of returned echo
- elapsed time T for an echo to return

$$T = 2 r/c \quad r = cT/2$$



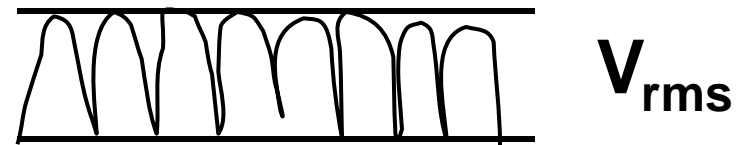
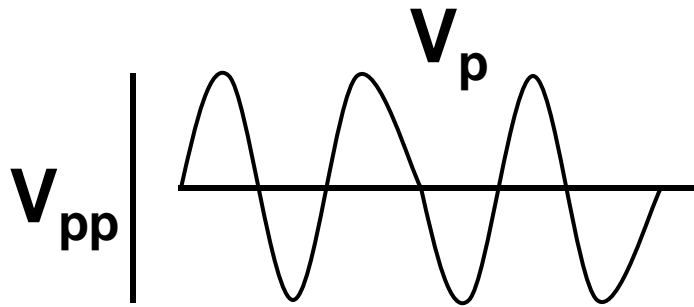
Measuring Voltage

Volts peak V_p = peak value

Volts peak to peak $V_{pp} = 2 V_p$

Volts root mean squared $V_{rms} = V_{pp}/2\sqrt{2}$

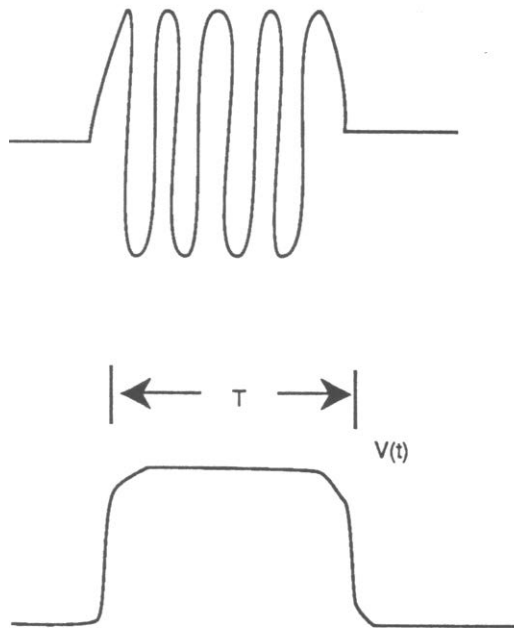
Volts detected = just positive



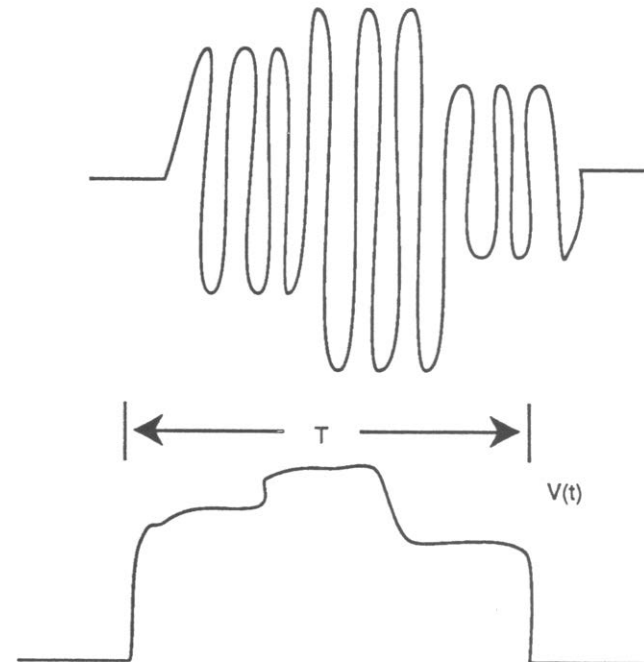
$$20\log(V_{rms}) = \text{dBv} \parallel 1 V_{rms}$$

Echo Shapes

Single



Overlapping



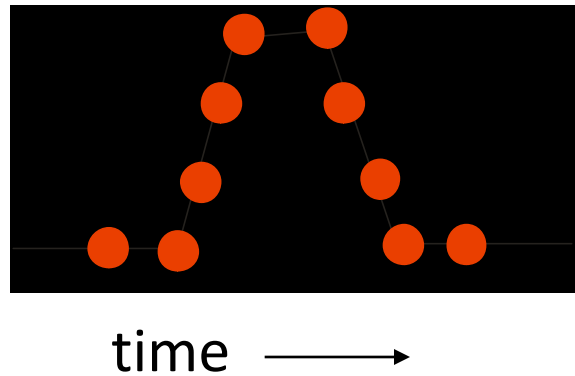
Digitizing Echoes

Traditional Approach:

- echosounder 'samples' received echo at a fixed rate (e.g. 25 kHz)

Example: if pulse width is 0.4 ms then how many samples?

$$25,000 \text{ samples/s} \times 0.0004 \text{ s} = 10 \text{ samples}$$



Digitizing Echoes II

Sampling Theory Approach:

- sample rate dependent on Nyquist sampling criterion of pulse length

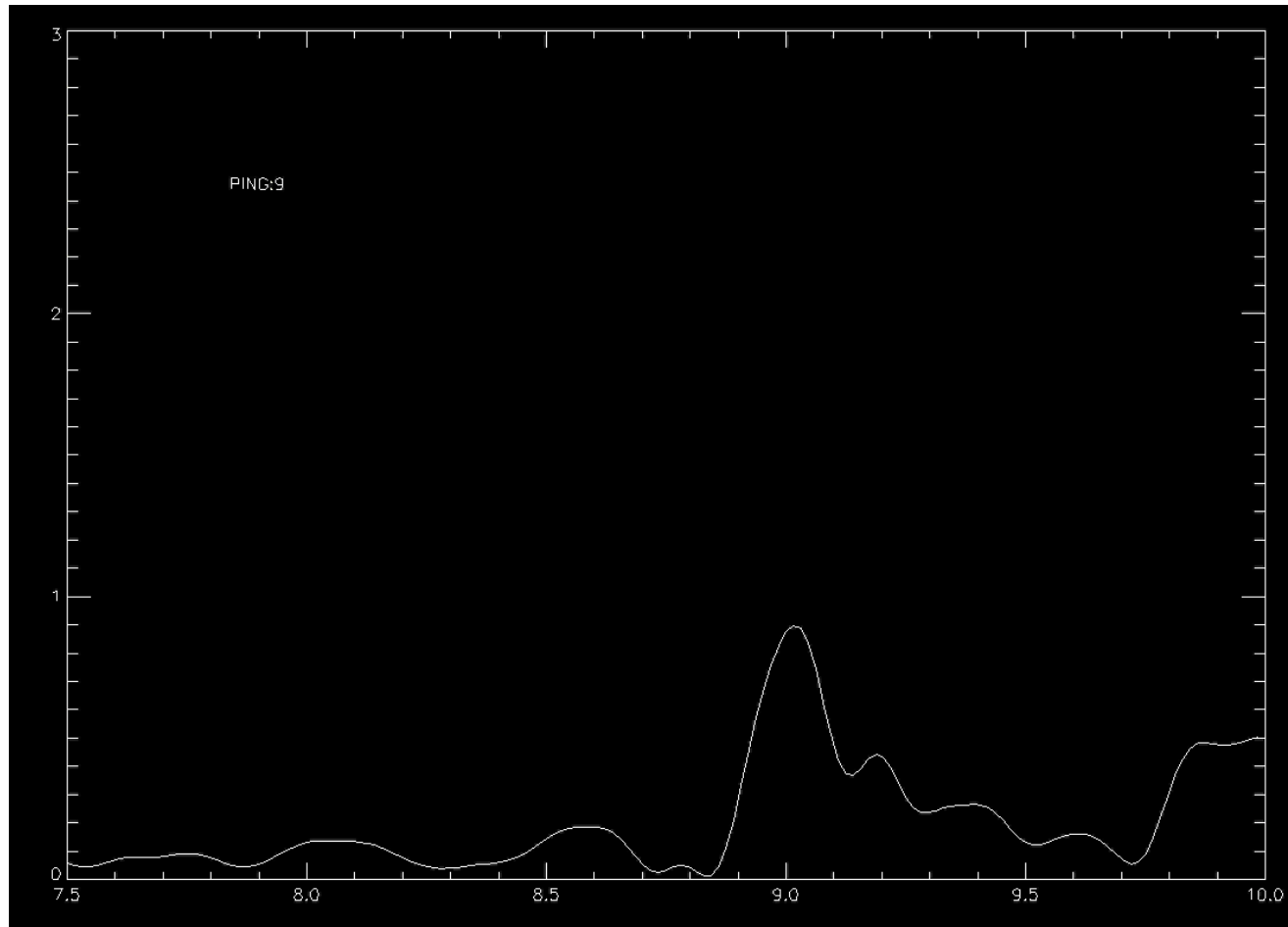
Nyquist-Shannon sampling theorem frequency:

“If a function $x(t)$ contains no frequencies higher than B Hz, it is completely determined by giving its ordinates at a series of points spaced $1/(2B)$ seconds apart.”

=> You can recreate the signal by sampling it at $\frac{1}{2} \tau$

EK and ES 60 samples at $\tau/4$

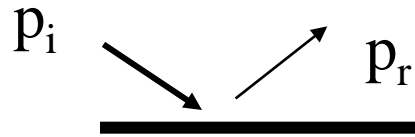
What is an Echo?



Acoustic Impedance

Scattering is caused by an Acoustic Impedance mismatch

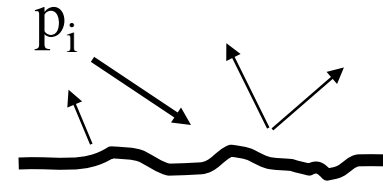
Reflection (1 direction)



LARGE objects (e.g. breakwater)

$$R = p_r/p_i$$

Scattering (all directions)



small objects (e.g. piling)

What is acoustic impedance (Z)? $Z = \rho c$

$$g = \rho_2/\rho_1$$

density

$$h = c_2/c_1$$

sound speed

Echoes: Acoustic Impedance Mismatch

Reflection

$g = \rho_2/\rho_1$
density
contrast

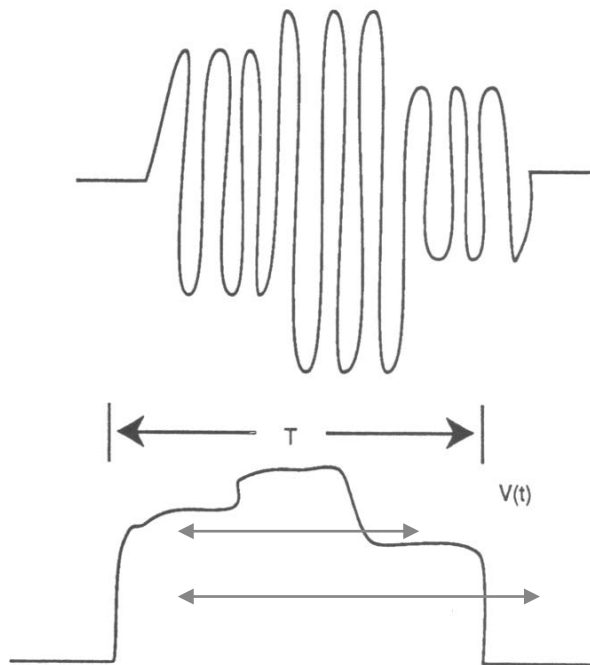
$h = c_2/c_1$
sound speed
contrast

$$R = \frac{\rho_2 c_2 - \rho_1 c_1}{\rho_2 c_2 + \rho_1 c_1} = \frac{\frac{\rho_2 c_2}{\rho_1 c_1} - 1}{\frac{\rho_2 c_2}{\rho_1 c_1} + 1} = \frac{gh - 1}{gh + 1}$$

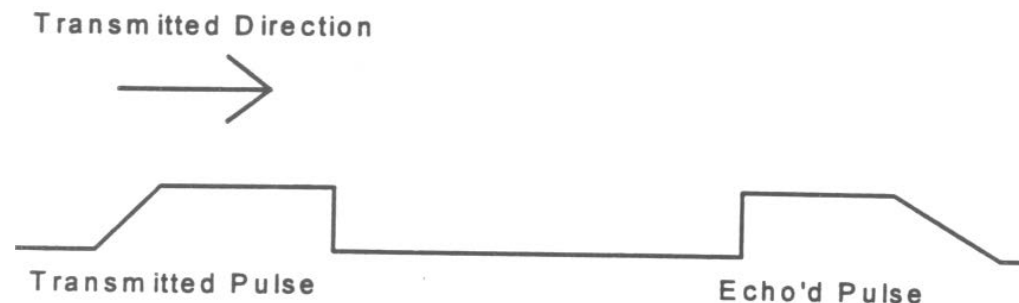
Single Target Criteria

- metrics of echo envelope: width, correlation, phase

Envelope width : 1/2,
1/4, 1/8 maximum
echo amplitude



Envelope correlation:
returned echo with
incident pulse



$$\text{Correlation} = \frac{\Sigma(\text{real_echo} * \text{ideal_echo})}{\sqrt{(\Sigma(\text{real_echo}^2) * \Sigma(\text{ideal_echo}^2))}}$$

Single Target Criteria: Phase

Phase Metric Criteria

Reject as single echo if...

average phase deviation: average deviation in samples within single echo exceeds a preset limit

standard phase deviation: standard deviation of phase measurements athwart or along exceeds a preset limit

phase comparison: phase difference between adjacent elements in each pair exceeds a preset limit within 6 dB of peak amplitude

see Soule et al. 1996

Estimating Density

Depending on density you have 2 choices:

Echo Counting – count individual echoes

(if densities < 1 animal / sample volume)

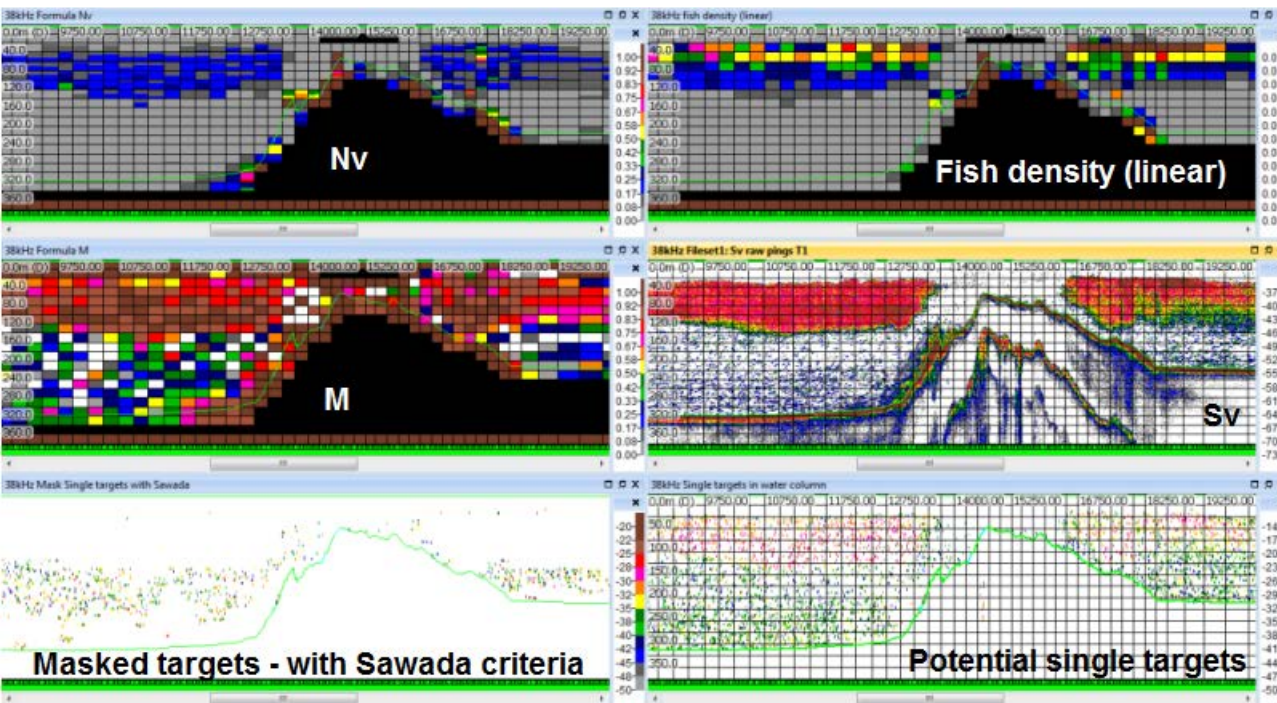
Echo Integration – sum total energy and divide by energy from representative individual

Critical Density

$$\rho_c = 1/V_c \quad \text{critical density} \propto 1/\text{volume}$$

Value should be less than $1/\text{m}^3$, ideal less than $0.2/\text{m}^3$

Sawada et al. (1993); Ona and Barange (1999)



M = ratio of multiple to
single echoes
Nv = fish density

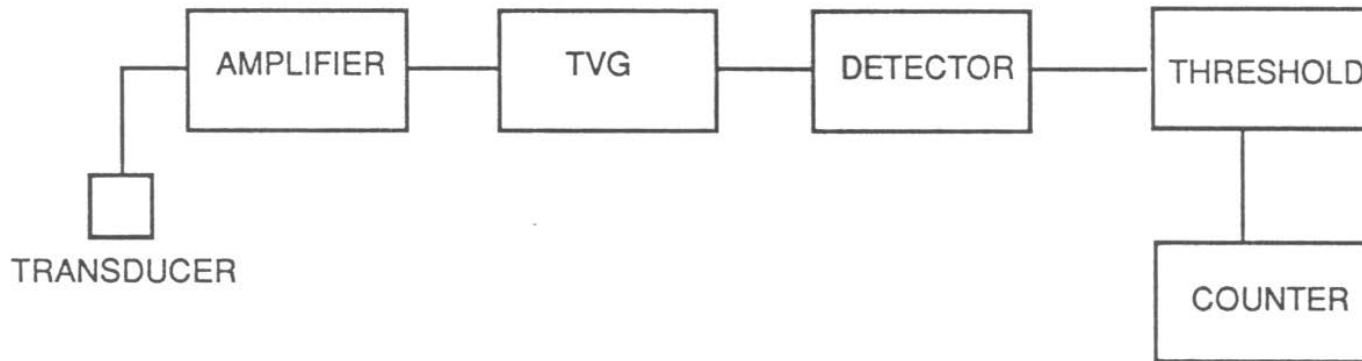
$$Nv < 0.04$$

$$M < 0.7$$

Target Counting

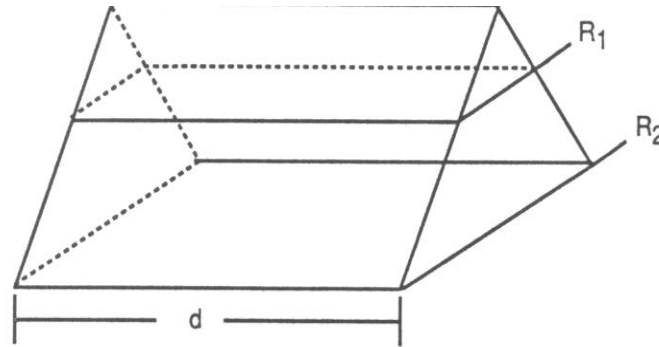
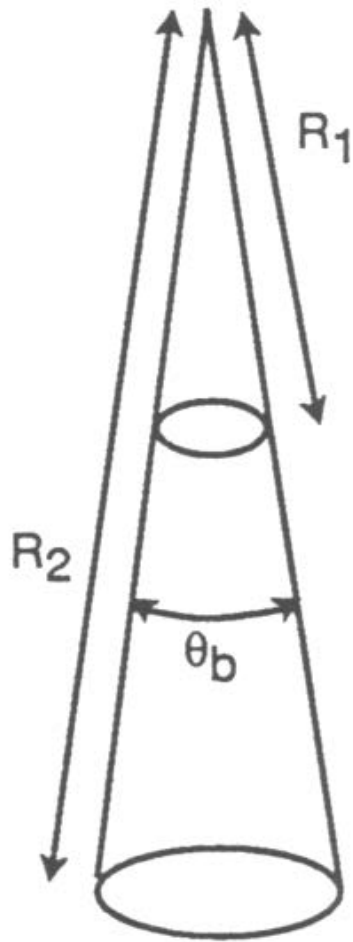
- first observed by Trout (1952)
- first attempted by Midttun and Saetersdal (1957)
- number of target echoes above threshold standardized to volume insonified
- threshold is used to screen noise and to reject targets smaller than those of interest (i.e. size matters)

Echosounder Target Counting



$$Density = \frac{\sum counts}{(\# pings)(volume/ping)}$$

Target Counting Volume



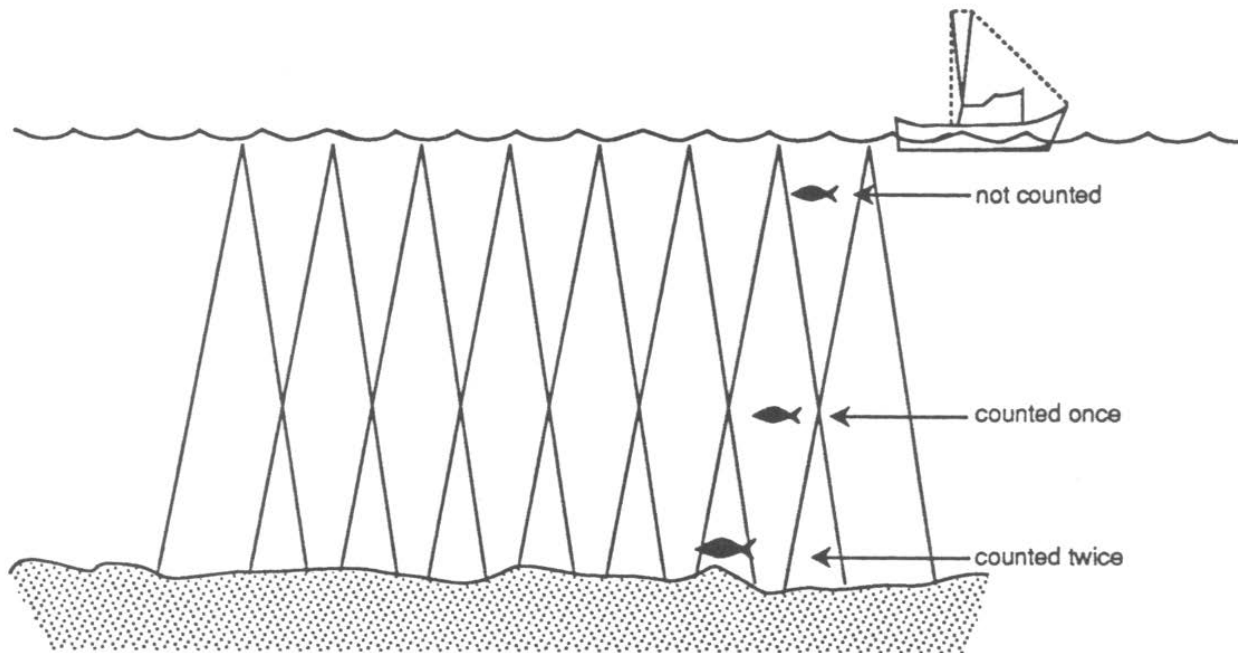
$$Volume = (area\ of\ end) * (d)$$

$$Volume = \frac{1}{3} (R_2^3 - R_1^3) \sin^2 \left(\frac{\theta_b}{2} \right)$$

$$Density = \frac{\# echoes}{volume\ insonified}$$

Target Counting Volume

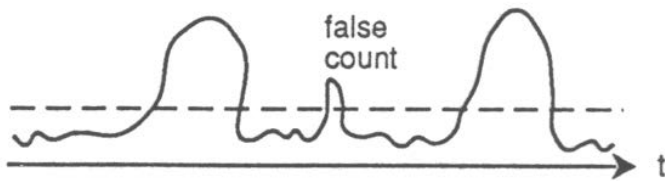
- dependent on beamwidth, pulse length, and target range (i.e. resolution and range)



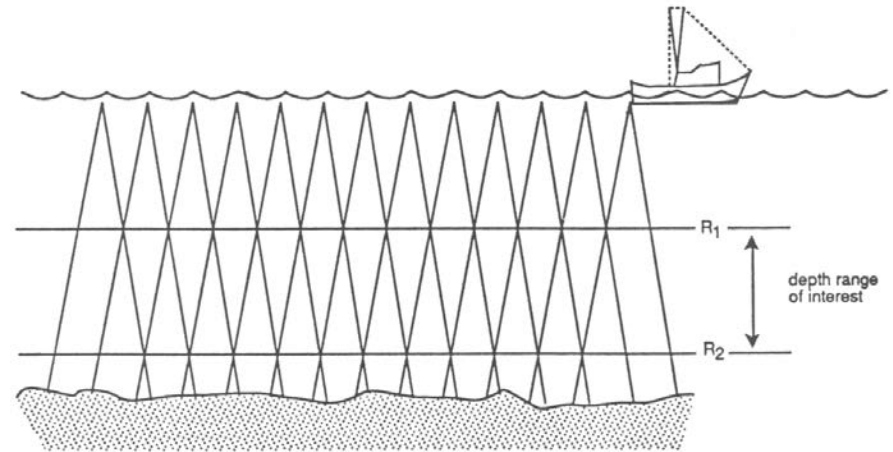
Pulse overlap: beamwidth, boat speed, and target or depth range

Target Counting Potential Problems

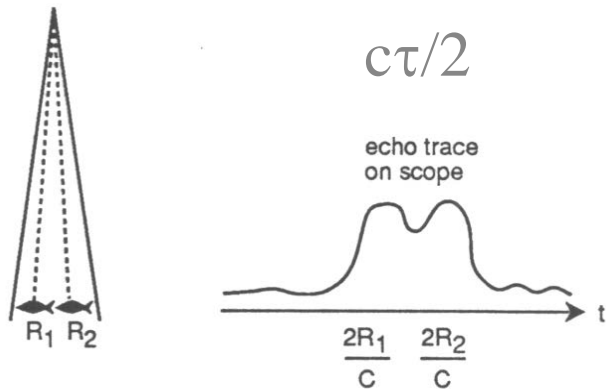
False Targets (threshold)



Pulse Overlap



Target Overlap (multiple targets)



Single Target Backscatter

Pressure of Backscattered Sound

$$p_{scat} = D_t D_r \frac{[p_o r_o]}{r^2} l_{bs}$$

where: D_t = directivity of transmit, D_r = directivity of receiver, p_o = reference pressure, r = range, l_{bs} = scattering length of target (units m)

$$l_{bs} = \frac{p_{scat} r^2}{D_t D_r [p_o r_o]}$$

Relationship Among Terms

Square of the absolute scattering length is backscattering cross section σ_{bs}

$$\sigma_{bs} = |l_{bs}|^2 \quad (\text{units m}^2)$$

Log transform of σ_{bs} is Target Strength TS

$$TS = 10\log(\sigma_{bs}) \qquad \sigma_{bs} = 10^{(TS/10)}$$

Reduced Target Strength

$$TS = 10\log(\sigma_{bs}/L_o^2) = 20\log(|l_{bs}|/L_o)$$

where L_o is a reference length, 1 m

The 4π Factor

Principles and Applications of Underwater Sound (NRDC 1946)

define target area (σ) as:

$$\sigma = \pi a^2 \quad \text{where } a = \text{radius of a sphere} \quad \text{acoustic cross section}$$

(Isotropic scattering by a single particle)

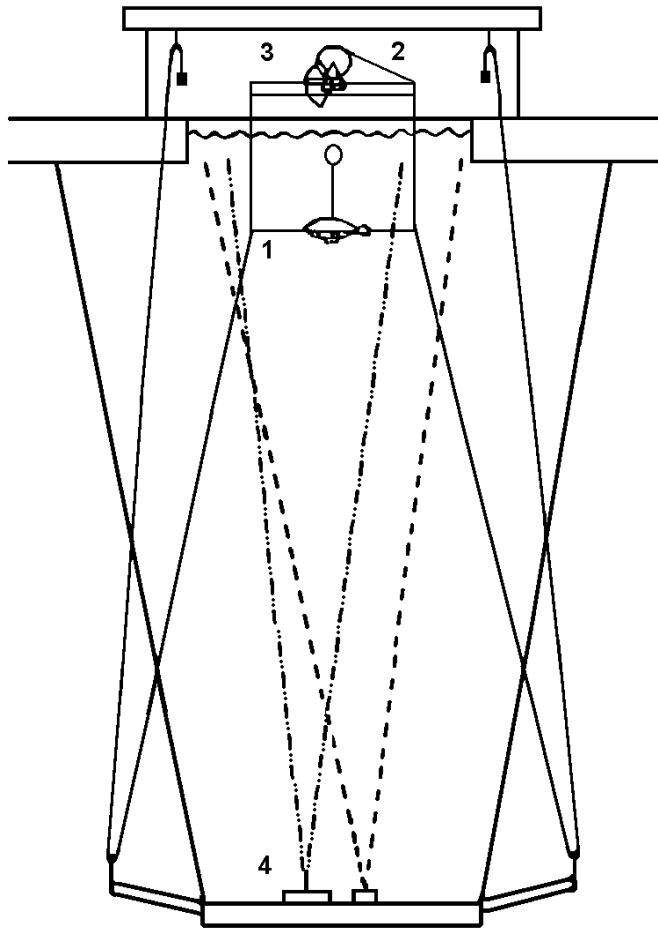
$$I_s = I_i \sigma / 4\pi r^2 \quad \text{where } I_s = \text{scattered intensity, } I_i = \text{incident intensity}$$

$$\text{and } TS = 10\log(\sigma/4\pi)$$

But for backscatter (i.e. non-isotropic):

$$TS = 10\log(\sigma_{bs}) \quad \text{backscattering cross section}$$

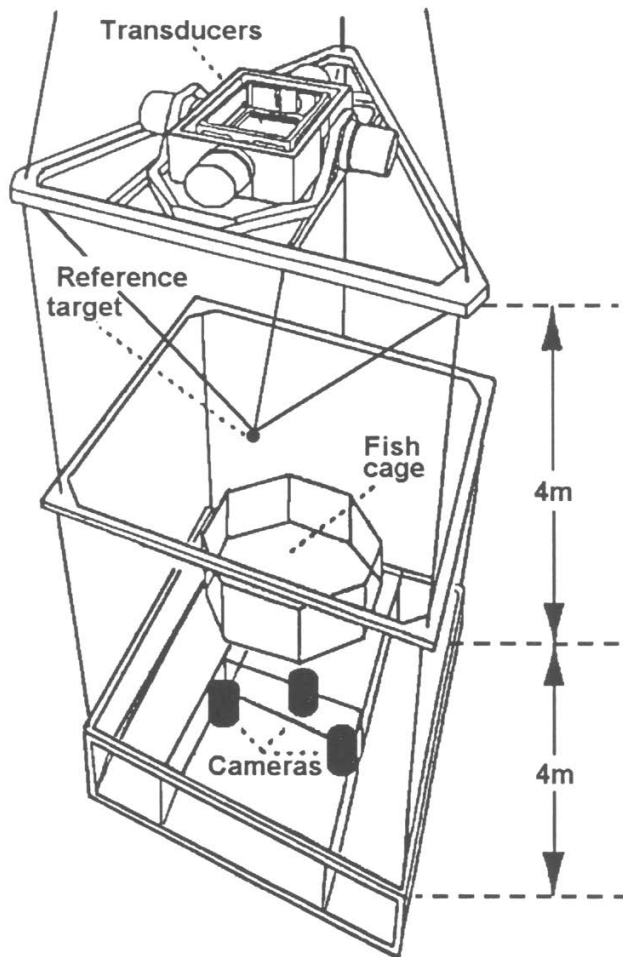
Target Strength Measurement



- individual tethered fish
- frequency-dependent measurements at known tilt angles
- *but* dead fish

Nakken and Olsen 1977

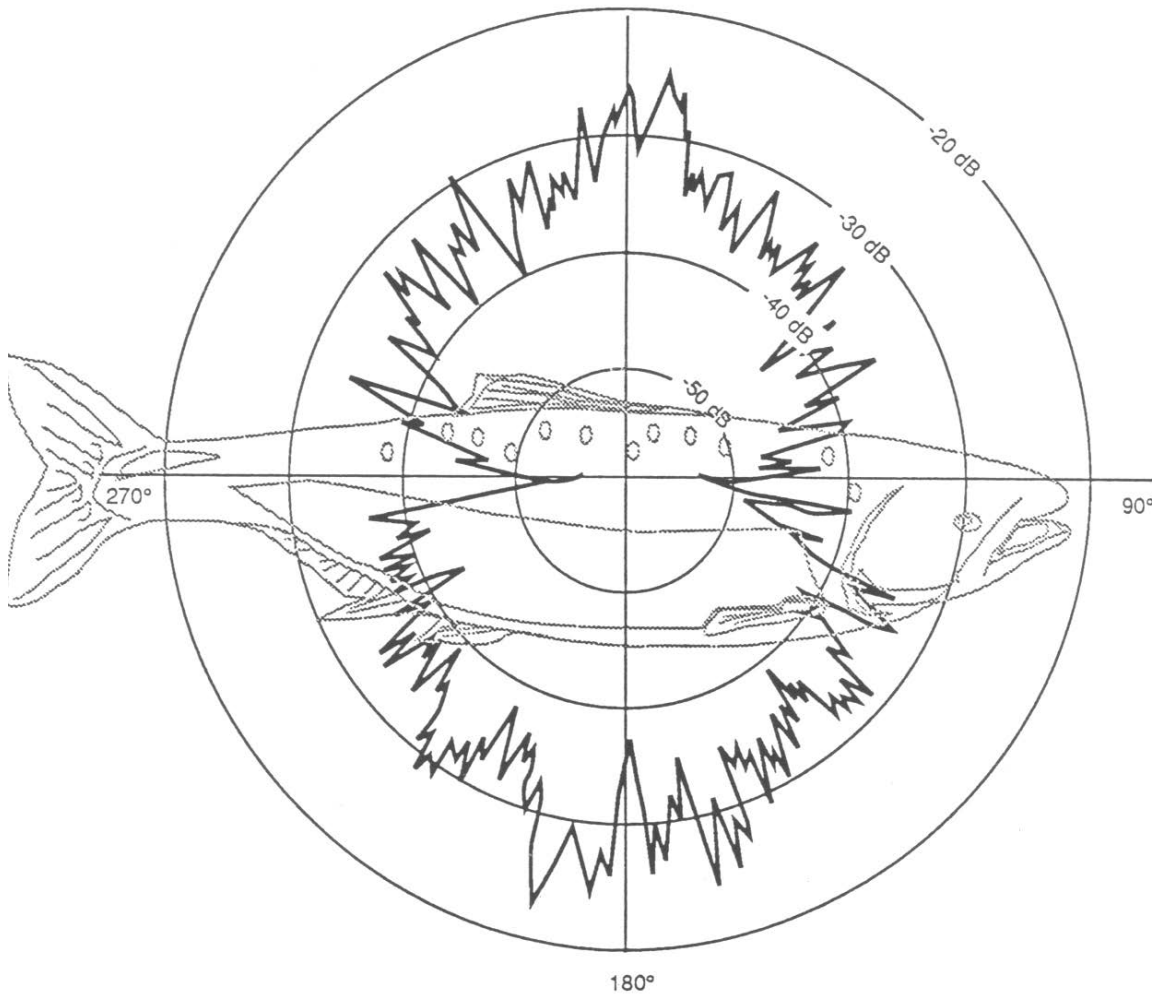
Target Strength Measurement



- measurements of fish in a cage
- use optics to verify tilt angles
- use a reference target to ensure consistent system performance

Edwards and Armstrong 1984

Why is aspect important?



Fish are directional scatterers

Acker 1977

Target Strength and Fish Length

- relationship exists between organism size, measured by length or mass, and amount of scattered sound

Assumptions:

- sampled targets are within the size range used to determine statistical relationship between organism and amplitude of echo
- statistical relationship between organism and echo amplitude is independent of frequency (with the exception of Love)
- statistical relationship is independent of behavior or can be averaged across behaviors (with the exception of Middtun)

Target Strength and Fish Length

Method:

- empirically measure target strengths of individual, known-sized organisms: *in situ* and using nets
- regression relation between target strength and fish length (exponential or log-linear)

$$TS = a \log(L_{\text{cm}}) + b$$

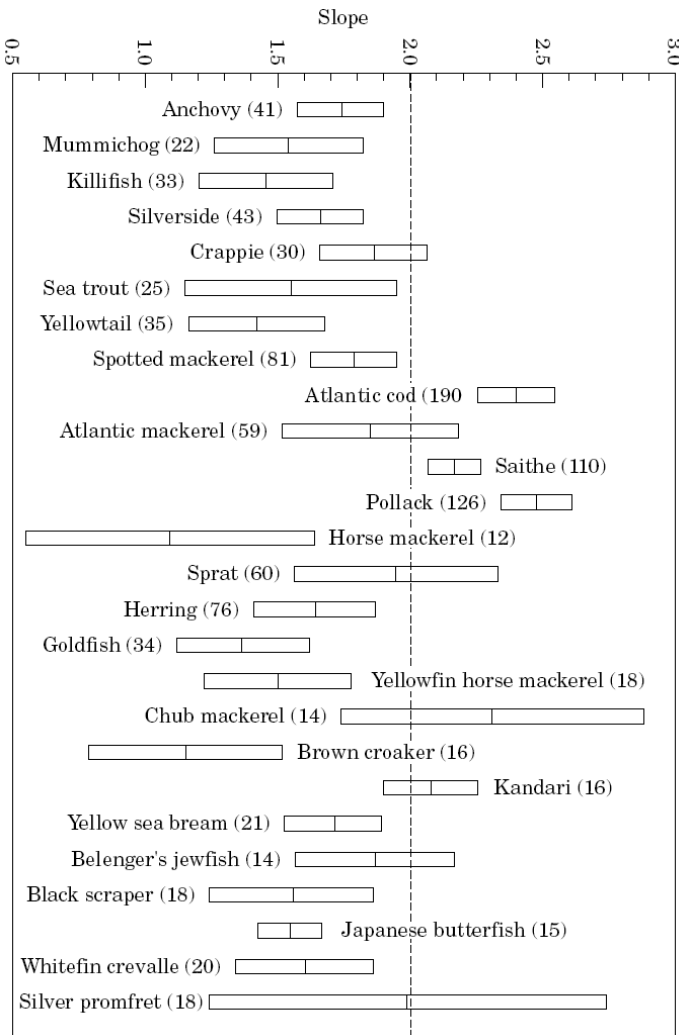
Foote (1987) if $TS \propto L^2$ $TS = 20 \log(L_{\text{cm}}) + b$

where TS is target strength (dB), L is length (cm)

Target Strength and Fish Length

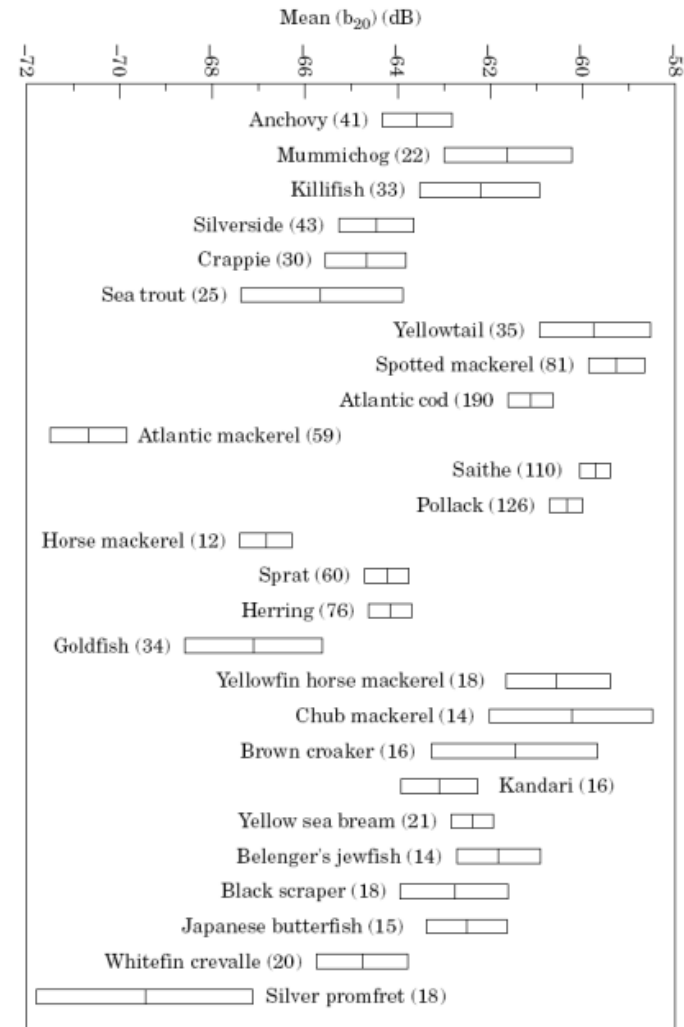
a values

b_{20} values



If $TS \propto L^2$ then
predict $a = 2$

*slopes and
intercepts not
transferable
across species



McClatchie *et al.* 1996

Echo Integration

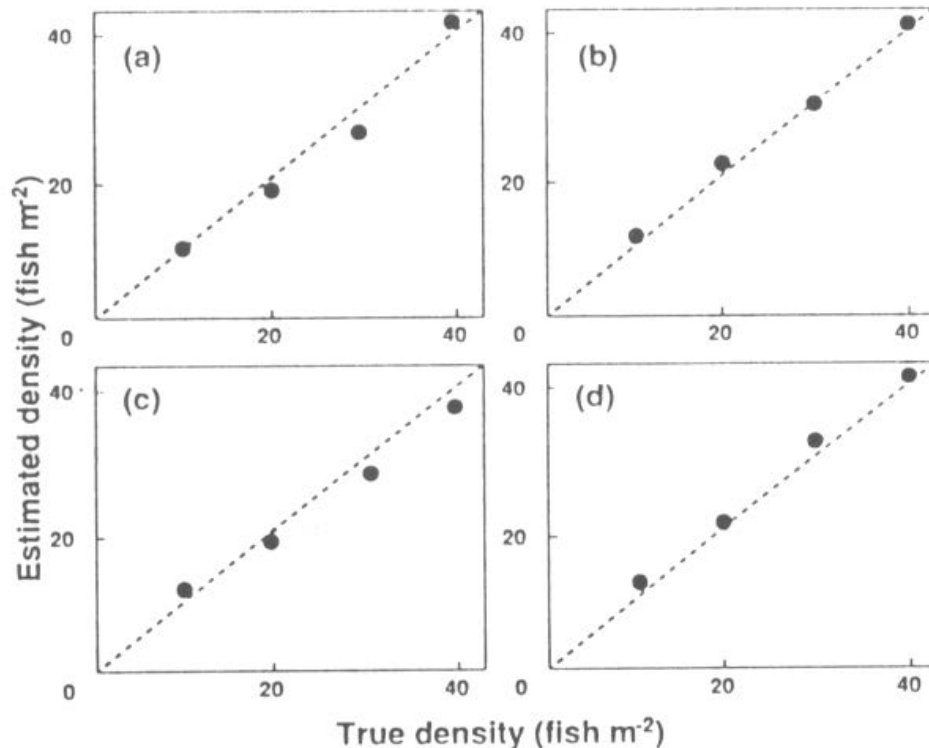
- first proposed by Dragesund and Olsen (1965)
- average acoustic energy (i.e. intensity) in specified range bins

$$\bar{I} \propto N \bar{\sigma}_{bs}$$

where \bar{I} is average intensity, N is number of animals, and $\bar{\sigma}_{bs}$ is the average backscatter from a 'representative' fish

Linearity Principle

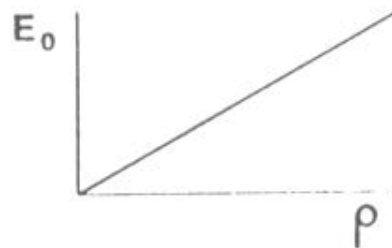
- assumes density is proportional to energy
- assumes acoustic extinction (i.e. shadowing) and multiple scattering are negligible
- definitive experimental evidence by Foote (1983)



- used caged, free-swimming herring and pollack
- frequency range 38 kHz - 120 kHz
- densities up to 57 fish m⁻³

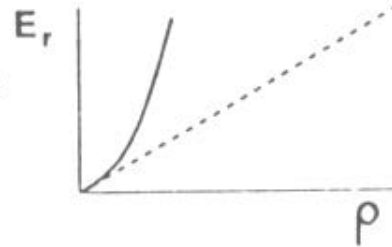
Deviations from Linearity

(a) Random



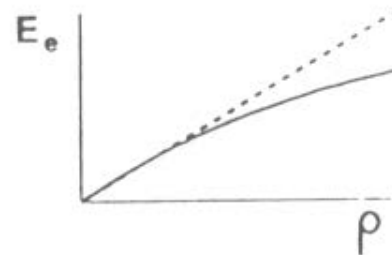
linear increase

(b) Regular



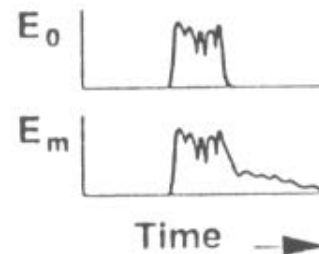
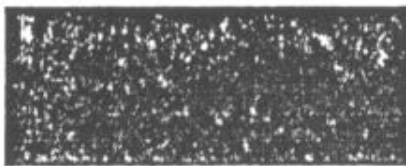
exponential increase (n^2),
due to coherent scattering

(c) Dense layer



decay due to shadowing

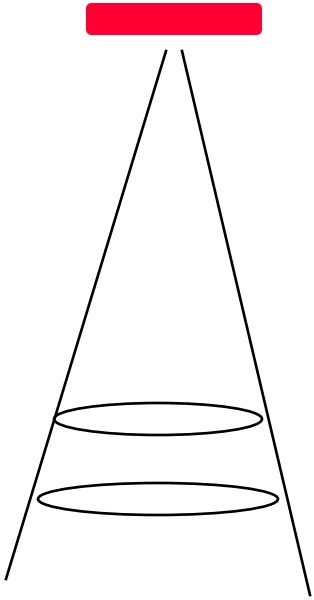
(d) Very dense layer



multiple scattering, shadowing

Integration volume

- assume beam is ideal with solid angle of ψ steradians



$$V = \frac{c\tau}{2} \psi r^2$$

for a circular xducer:

$$\psi = \left(\frac{4.853}{kD} \right)^2$$

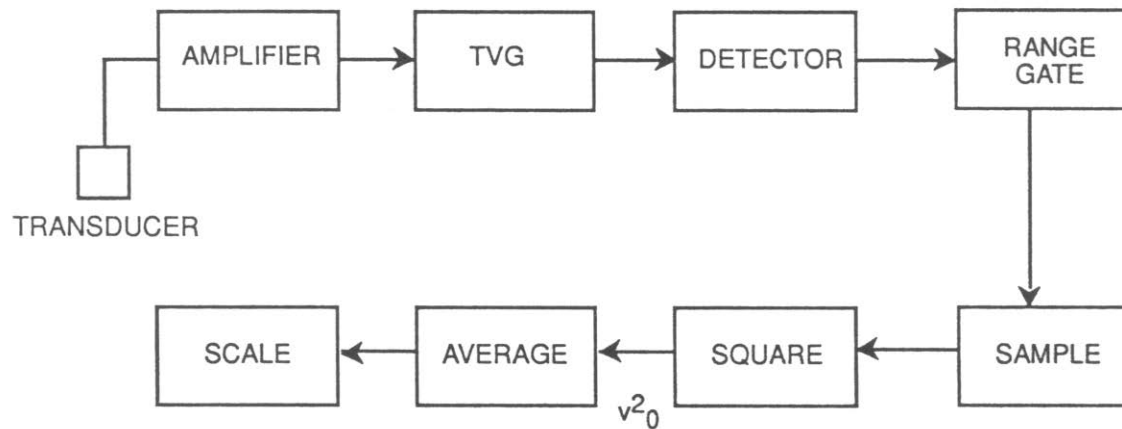
k = wave number rad/m
 $= 2\pi/\lambda = 2\pi f/c$

D = diameter of xducer

Echosounder Integration

$$E_i = \int_{t1}^{t2} |v(t)|^2 dt$$

where E_i is the echo integral and $v(t)$ is the voltage at time t after the pulse



Echosounder Integration

Remember:

$$\left| P_{scat} \right|^2 = (p_0 r_0)^2 \left(\frac{1}{r_{target}} \right)^2 \left(\frac{1}{r_{source}} \right)^2 \sigma_{bs}$$

linear sonar
equation for single
targets

$$EL = SL - TL_{target} - TL_{source} + TS$$

log form for single targets

For groups, energy comes from volume

$$RL = SL - 2TL + S_v + \mathbf{10 \log V}$$

log form for aggregations

$$S_v = N\sigma_{bs}$$

Where does volume term come from?

Echo Integration Terms: Linear

3 components:

acoustic size, number of scatterers, volume insonified

Definitions:

$$s_v = \text{volume backscattering coefficient} = \sum \frac{\sigma_{bs}}{V} = \frac{n \sigma_{bs}}{V}$$

$$s_a = \text{area backscattering coefficient} = \int_{z1}^{z2} s_v dz$$

$$s_A = \text{nautical area backscattering coefficient} = 4\pi(1852)^2 s_a$$

Echo Integration Terms: Log

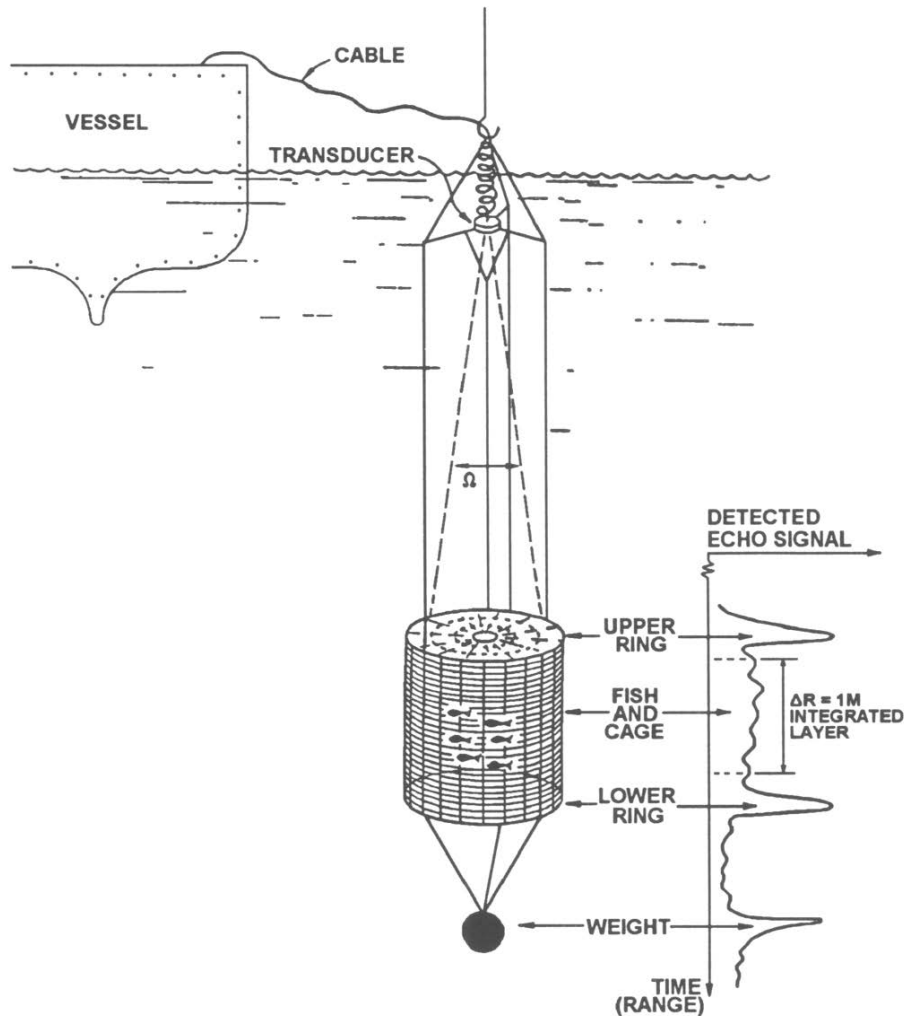
$$S_v = (\text{mean}) \text{ volume backscattering strength} = 10\log_{10}(s_v)$$

$$S_a = \text{area backscattering strength} = 10\log_{10}(s_a)$$

$$S_A = \text{nautical area backscattering strength} = 10\log_{10}(s_A)$$

cf. Table 1, MacLennan et al. (2002)

Echo Integration Measurement



- group of fish in cage
- gate layer to time (i.e. range) within cage
- integrate echo returns from gated layer

Burczynski 1979

Echo Integration Limitations

dead zones: near-surface, near-bottom

non-linear effects: shadowing, multiple scattering

no acoustic species identification: rely on other methods

must know backscattering properties of target for numeric density estimates